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Mintz Dkt. No. 5075-0028

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/758,939	
	Filing Date	January 10, 2001	
	First Named Inventor	Jennifer Lu	
	Art Unit	2627	
	Examiner Name	Christopher R. Magee	
Mail Stop	Certificate of Correction Branch	Attorney Docket Number	5075-0028

ENCLOSURES (Check all that apply)

<input type="checkbox"/> No fee due <input type="checkbox"/> Fee Transmittal <input checked="" type="checkbox"/> Fee(s) due <input checked="" type="checkbox"/> Fee Transmittal <input checked="" type="checkbox"/> Check for \$130.00 <input checked="" type="checkbox"/> Charge any underpayment or credit any overpayment to Deposit Account No. 50-2587 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement & Form(s) PTO-1449 <input type="checkbox"/> Copy(ies) of cited reference(s) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s):	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures: <u>Request for Certificate of Correction, Certificate of Correction, and a copy of the Notice of Recordation,</u>
Remarks: The Commissioner is hereby authorized to charge any additional or underpayment of fee(s) to Deposit Account No. 50-2587.		
<p style="text-align: right;">Certificate AUG 01 2007 of Correction</p>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name (print/type)	James Nolan, Reg. No. 53,393 Mintz, Lewin, Cohn, Ferris, Glovsky and Popeo, P.C.	Telephone	(650) 251-7700
Signature		Date	7.26.07

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Name (print/type)	Joe Clark		
Signature		Date	7.26.07

AUG 2 2007



FEE TRANSMITTAL

Complete if Known	
Application Number	09/758,939
Filing Date	January 10, 2001
First Named Inventor	Jennifer Lu
Examiner Name	Christopher R. Magee
Group Art Unit	2627
Attorney Docket No.	5075-0028

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 130.00

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																																																	
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<input checked="" type="checkbox"/> Deposit Account: Deposit Account No. 50-2587 Deposit Account Name Hitachi Global Storage Technologies, Inc.		<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>18-0680</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>Mintz, Levin, Cohn, Fierman, Glovsky and Popeo, P.C.</td><td></td><td>2052</td><td>65</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251</td><td>120</td><td>2251</td><td>60</td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252</td><td>450</td><td>2252</td><td>225</td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253</td><td>1,020</td><td>2253</td><td>510</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254</td><td>1,590</td><td>2254</td><td>795</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255</td><td>2,160</td><td>2255</td><td>1,080</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401</td><td>500</td><td>2401</td><td>250</td><td>Notice of Appeal</td><td></td></tr><tr><td>1402</td><td>500</td><td>2402</td><td>250</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403</td><td>1,000</td><td>2403</td><td>500</td><td>Request for oral hearing</td><td></td></tr><tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452</td><td>500</td><td>2452</td><td>250</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453</td><td>1,500</td><td>2453</td><td>750</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>1,400</td><td>2501</td><td>700</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>800</td><td>2502</td><td>400</td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>1,100</td><td>2503</td><td>550</td><td>Plant issue fee</td><td></td></tr><tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>790</td><td>2809</td><td>395</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810</td><td>790</td><td>2810</td><td>395</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>1801</td><td>790</td><td>2801</td><td>395</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td>1814</td><td>130</td><td>814</td><td>65.00</td><td>Statutory Disclaimer</td><td></td></tr><tr><td colspan="4">Other fee (specify) Processing Fee</td><td></td><td></td></tr><tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td><td></td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td></td><td>\$130.00</td></tr><tr><td colspan="4">SUBTOTAL (2)</td><td></td><td>\$130</td></tr><tr><td colspan="4">SUBTOTAL (3)</td><td></td><td>\$130</td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	18-0680	130	2051	65	Surcharge - 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unintentional		1501	1,400	2501	700	Utility issue fee (or reissue)		1502	800	2502	400	Design issue fee		1503	1,100	2503	550	Plant issue fee		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))		1801	790	2801	395	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		1814	130	814	65.00	Statutory Disclaimer		Other fee (specify) Processing Fee						*Reduced by Basic Filing Fee Paid						SUBTOTAL (1)					\$130.00	SUBTOTAL (2)					\$130	SUBTOTAL (3)					\$130
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	James Nolan	Registration No. (Attorney/Agent)	53,393	Telephone (650) 251-7700
Signature				Date 7-26-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Jennifer Lu et al.

Patent No. 7,236,328 B2

Issue Date: June 26, 2007

Application Serial No. 09/758,939

Filing Date: January 10, 2001

Title: METHOD FOR PRODUCING A TRANSDUCER SLIDER WITH TAPERED EDGES



Confirmation No. 9598

Group Art Unit: 2627

Examiner: Christopher R. Magee

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §§ 1.323 AND 3.81(b)
TO CORRECT ASSIGNEE INFORMATION ON ISSUED PATENT**

Attention:

Certificate of Corrections Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.323 and 3.81(b), applicants respectfully request that the Office enter the attached Certificate of Correction for the subject U.S. Patent. The instant request is being provided to the Office to satisfy the requirements of 37 C.F.R. § 3.81(b).

The facts in support of this request are as follow:

Applicants paid the issue fee for the subject application on May 15, 2007. The Issue Fee Transmittal submitted on this date only indicated, specifically, Hitachi Global Storage Netherlands, B.V.

Applicants request a certificate of correction under 37 C.F.R. § 1.323 to change the assignee data at item (73) to the following:

Item (73): Hitachi Global Storage Technologies
The Netherlands, B.V.

On October 2, 2006, the Office denied applicants request for the certificate of correction because the following requirements under 37 C.F.R. § 3.81(b) were missing from the prior request:

- (A) the \$130.00 processing fee set forth under 37 C.F.R. § 1.17(i);
- (B) a statement that the failure to include the correct assignee name on the PTOL-85B (i.e., the Issue Fee Transmittal) was inadvertent; and

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(C) a copy of the Notice of Recordation of Assignment Document reflecting the reel and frame number where the assignment is recorded.

Regarding item (A), applicants enclose a check in the amount of \$130.00 for the fee required under 35 U.S.C. § 1.17(i).

Regarding (B), applicants state that the failure to include the correct assignee name on the PTOL-85B (i.e., the Issue Fee Transmittal) was inadvertent.

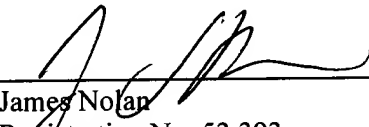
Regarding (C), applicants have attached to this submission a copy of the Notice of Recordation from U.S. Patent No. 7,236,328 ('328 Patent), United States Patent Application Serial No. 09/758,939, as issued by the Office, indicating Hitachi Global Storage Technologies Netherlands B.V., recorded at Reel/Frame 014374/0325.

Because applicants have satisfied all of the requirements of 37 C.F.R. § 3.81(b) with this paper, applicants respectfully request that the Office issue a Certificate of Correction under 37 C.F.R. § 1.323 to correct the assignee information at item (73) of the subject patent.

Any questions regarding this paper should be addressed to the undersigned attorney at 650-251-7700 or at JSNolan@mintz.com.

Respectfully submitted,

By:



James Nolan
Registration No. 53,393
MINTZ LEVIN
1400 Page Mill Road
Palo Alto, California 94304-1124

AUG 2 2007

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,236,328 B2
APPLICATION NO. : 09/758,939
ISSUE DATE : June 26, 2007
INVENTORS : Jennifer Lu, Dennis Richard McKean, Cherngye Hwang, and Shi Ning

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Item (73): The assignees of the subject application should be:
--Hitachi Global Storage Technologies Netherlands B.V.--

MAILING ADDRESS OF SENDER:

Mintz Levin Cohn Ferris Glovsky and Popeo, P.C.
1400 Page Mill Road
Palo Alto, CA 94304-1124

AUG 2 2007

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

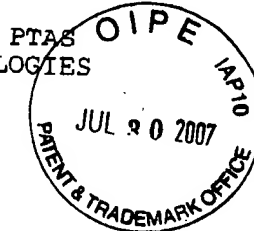


COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 27, 2004

HITACHI GLOBAL STORAGE TECHNOLOGIES
ROBERT B. MARTIN
5600 COTTLE ROAD
MAIL CODE: NHGB/014-2
SAN JOSE, CA 95193

102526072A

3/25/04 *LLW* DOCKETEDUNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/11/2003

REEL/FRAME: 014374/0325
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

INTERNATIONAL BUSINESS MACHINES
CORPORATION

DOC DATE: 07/16/2003

ASSIGNEE:

HITACHI GLOBAL STORAGE
TECHNOLOGIES NETHERLANDS B.V.
LOCATELIKADE 1
1076 AZ AMSTERDAM, NETHERLANDSSERIAL NUMBER: 09758939
PATENT NUMBER:FILING DATE: 01/10/2001
ISSUE DATE:

RECEIVED MAR 0 8 2004

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